

The Classical limit and Heisenberg's Uncertainty relations

Let us restrict ourselves to the simplest type n Hamiltonian

$$H = \frac{\vec{p}^2}{2M} + V(\vec{x}) \quad (1)$$

Say at time t the system is in the state

$$|\psi(t)\rangle \quad (2)$$

which has to satisfy the Schrödinger Eqⁿ

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = H |\psi(t)\rangle \quad (3)$$

Thus

$$\frac{\partial}{\partial t} |\psi(t)\rangle = -\frac{i}{\hbar} H |\psi(t)\rangle \quad \text{and} \quad \frac{\partial}{\partial t} \langle \psi(t)| = \frac{i}{\hbar} \langle \psi(t)| H \quad (4)$$

Now consider the time-dependence of some operator O made of \vec{x} & \vec{p} , with no explicit time-dependence. We want

$$\begin{aligned} \frac{d}{dt} \langle \psi(t) | O | \psi(t) \rangle &= \left(\frac{\partial}{\partial t} \langle \psi(t) | \right) O | \psi(t) \rangle \\ &\quad + \langle \psi(t) | O \frac{\partial}{\partial t} | \psi(t) \rangle \end{aligned}$$

$$= \frac{i}{\hbar} \langle \psi(t) | H O | \psi(t) \rangle - \frac{i}{\hbar} \langle \psi(t) | O H | \psi(t) \rangle \quad (5)$$

$$\frac{d}{dt} \langle \psi(t) | O | \psi(t) \rangle = \frac{i}{\hbar} \langle \psi(t) | [H, O] | \psi(t) \rangle$$

Let's take a few simple operators to see how this goes.

Say $\hat{O} = x_i$ (6) $i = 1, 2, 3$ components

Let's define $\bar{x}_i(t) = \langle \psi(t) | x_i | \psi(t) \rangle$

$$\frac{d}{dt} \bar{x}_i(t) = \frac{i}{\hbar} \langle \psi(t) | [\mathcal{H}, x_i] | \psi(t) \rangle \quad (7)$$

The only part of \mathcal{H} that does not commute with x_i is $\vec{p}^2 / 2M$

$$\left[\frac{p_j^2}{2M}, x_i \right] = \frac{1}{2M} \{ p_j [p_j, x_i] + [p_j, x_i] p_j \} \quad (8)$$

Note how the commutator acts like a derivative.

$$[p_i, x_j] = -i\hbar \delta_{ij}$$

So $[\mathcal{H}, x_i] = -i\hbar \frac{p_i}{M} = -i\hbar \frac{\partial \mathcal{H}}{\partial p_i}$ (9)

So

$$\frac{d}{dt} \bar{x}_i(t) = \langle \psi(t) | \frac{p_i}{M} | \psi(t) \rangle \equiv \frac{\bar{p}_i(t)}{M} \quad (10)$$

Now consider the time-dependence of p_i

$$\frac{d}{dt} \bar{p}_i(t) = \frac{i}{\hbar} \langle \psi(t) | [\mathcal{H}, p_i] | \psi(t) \rangle = \frac{i}{\hbar} \langle \psi(t) | [V(\vec{x}), p_i] | \psi(t) \rangle \quad (11)$$

Now

$$V(\bar{x}) = \int d^3x' |\bar{x}'\rangle V(\bar{x}') \langle \bar{x}'| \quad (12)$$

We already know

$$\begin{aligned} p_i |\bar{x}'\rangle &= +i\hbar \frac{\partial}{\partial x'_i} |\bar{x}'\rangle \\ \Rightarrow \langle \bar{x}'| p_i &= -i\hbar \frac{\partial}{\partial x'_i} \langle \bar{x}'| \end{aligned} \quad (13)$$

$$\begin{aligned} [V(\bar{x}), p_i] &= \int d^3x' V(\bar{x}') \{ |\bar{x}'\rangle \langle \bar{x}'| p_i - p_i |\bar{x}'\rangle \langle \bar{x}'| \} \\ &= \int d^3x' V(\bar{x}') \left(-i\hbar \frac{\partial}{\partial x'_i} \{ |\bar{x}'\rangle \langle \bar{x}'| \} \right) \end{aligned} \quad (14)$$

Integrate by parts. As long as we assume that $\langle \bar{x}'| \psi \rangle$ vanishes as $|\bar{x}'| \rightarrow \infty$ we can ignore boundary terms.

$$\begin{aligned} \Rightarrow [V(\bar{x}), p_i] &= \int d^3x' i\hbar \frac{\partial V(\bar{x}')}{\partial x'_i} |\bar{x}'\rangle \langle \bar{x}'| \\ &\equiv i\hbar \frac{\partial V(\bar{x})}{\partial x_i} \equiv i\hbar \frac{\partial \mathcal{H}}{\partial x_i} \end{aligned} \quad (15)$$

$$\Rightarrow \frac{d p_i}{dt} = - \langle \psi(t) | \frac{\partial \mathcal{H}(\bar{x})}{\partial x_i} | \psi(t) \rangle \quad (16)$$

(10), (16) are called Ehrenfest's Theorem. They look very similar to the classical Hamilton's eq's

$$\dot{x}_i = \frac{\partial \mathcal{H}}{\partial p_i} \quad \dot{p}_i = -\frac{\partial \mathcal{H}}{\partial x_i} \quad (17)$$

If we could replace the operator \bar{x} inside (16) by its average $\langle \bar{x}(t) \rangle$ then we would have the correspondence principle.

However, before one can make this classical correspondence we need to insist on some properties of $|\psi(t)\rangle$, which we have ignored so far.

The problem is the second Hamilton eqⁿ. Let's write out (16) explicitly

$$\dot{P}_i = - \int d^3x' \langle \psi(t) | \bar{x}' \rangle \frac{\partial V(\bar{x}')}{\partial x'_i} \langle \bar{x}' | \psi(t) \rangle \quad (18)$$

In general $\frac{\partial V}{\partial x'_i}$ can be expanded in a Taylor series around $\bar{x}_i(t)$

$$\begin{aligned} \frac{\partial V(\bar{x}')}{\partial x'_i} = & \frac{\partial V(\bar{x}_i)}{\partial x'_i} + (x'_j - \bar{x}_j) \frac{\partial}{\partial x'_j} \frac{\partial V(\bar{x})}{\partial x'_i} \\ & + (x'_j - \bar{x}_j)(x'_k - \bar{x}_k) \frac{1}{2!} \frac{\partial}{\partial x'_j} \frac{\partial}{\partial x'_k} \frac{\partial V}{\partial x'_i} \end{aligned} \quad (19)$$

Now $|\psi(t)\rangle$ is normalized, so

$$\int d^3x' \langle \psi(t) | \bar{x}' \rangle \langle \bar{x}' | \psi(t) \rangle = \int d^3x' |\psi(\bar{x}', t)|^2 = 1$$

Since \bar{x}_i is the average of x_i

$$\int d^3x' \langle \psi(t) | \bar{x}' \rangle (x'_j - \bar{x}_j(t)) \langle \bar{x}' | \psi(t) \rangle = 0 \quad (20)$$

However,

$$\int d^3x' \langle \psi(t) | \bar{x}' \rangle (x'_j - \bar{x}_j(t)) (x'_k - \bar{x}_k(t)) \langle \bar{x}' | \psi(t) \rangle \neq 0$$

So Eq (16) becomes

$$\dot{\bar{p}}_i = - \frac{\partial V(\bar{\vec{x}})}{\partial x_i} - \frac{1}{2} \frac{\partial^3 V(\bar{\vec{x}})}{\partial x_i \partial x_j \partial x_k} \langle (x_j - \bar{x}_j(t))(x_k - \bar{x}_k(t)) \rangle + \dots \quad (21)$$

In order to have the classical correspondence we need the higher order terms to be negligible compared to the leading term.

This can be made to happen if $\langle \bar{x}' | \psi(t) \rangle$ is sharply peaked around the classical value $\bar{\vec{x}}(t)$.

How sharply peaked can both $\bar{\vec{x}}$ and $\bar{\vec{p}}$ be?

The answer is given by Heisenberg's Uncertainty principle.

Heisenberg Uncertainty Principle

Consider two arbitrary Hermitian operators A and B corresponding to observables.

As we know, in any state we can compute the means and variances of A & B

$$\bar{A} = \langle \psi | A | \psi \rangle \quad \bar{B} = \langle \psi | B | \psi \rangle \quad (22)$$

$$(\Delta A)^2 = \langle \psi | (A - \bar{A})^2 | \psi \rangle \quad (\Delta B)^2 = \langle \psi | (B - \bar{B})^2 | \psi \rangle$$

For convenience let's define the shifted operators

$$\tilde{A} = A - \bar{A} \quad \tilde{B} = B - \bar{B} \quad (23)$$

Now $(\Delta A)^2 = \langle \psi | \tilde{A}^2 | \psi \rangle = \langle \tilde{A}^\dagger \psi | \tilde{A} \psi \rangle = \langle \tilde{A} \psi | \tilde{A} \psi \rangle$

and $(\Delta B)^2 = \langle \tilde{B} \psi | \tilde{B} \psi \rangle \quad (24)$

Now we use the Schwarz inequality

$$\langle \psi | \psi \rangle \langle \phi | \phi \rangle \geq |\langle \psi | \phi \rangle|^2 \quad (25)$$

$$\Rightarrow (\Delta A)^2 (\Delta B)^2 \geq \langle \tilde{A} \psi | \tilde{B} \psi \rangle \langle \tilde{B} \psi | \tilde{A} \psi \rangle \quad (26)$$

$$\text{RHS} = \langle \psi | \tilde{A} \tilde{B} | \psi \rangle \langle \psi | \tilde{B} \tilde{A} | \psi \rangle$$

$$\tilde{A}\tilde{B} = \frac{1}{2}(\tilde{A}\tilde{B} + \tilde{B}\tilde{A}) + \frac{1}{2}[\tilde{A}, \tilde{B}]$$

$$\tilde{B}\tilde{A} = \frac{1}{2}(\tilde{A}\tilde{B} + \tilde{B}\tilde{A}) - \frac{1}{2}[\tilde{A}, \tilde{B}]$$

(27)

So

$$(\Delta A)^2 (\Delta B)^2 \geq \left(\frac{1}{4} \langle \psi | (\tilde{A}\tilde{B} + \tilde{B}\tilde{A}) | \psi \rangle \right)^2 - \left(\frac{1}{4} \langle \psi | [\tilde{A}, \tilde{B}] | \psi \rangle \right)^2$$

(28)

Now recall that since \tilde{A}, \tilde{B} are hermitian,

$$[\tilde{A}, \tilde{B}] = \text{antihermitian} = i\tilde{P}$$

\tilde{P} hermitian

On the other hand

$$\tilde{A}\tilde{B} + \tilde{B}\tilde{A} = \text{hermitian}$$

(29)

$$\Rightarrow \langle \psi | \tilde{A}\tilde{B} + \tilde{B}\tilde{A} | \psi \rangle = \text{real} \quad \langle \psi | [\tilde{A}, \tilde{B}] | \psi \rangle = \text{imaginary}$$

So both terms on the RHS are positive.

We can drop the 1st term while preserving the inequality.

$$(\Delta A)^2 (\Delta B)^2 \geq \frac{1}{4} |\langle \psi | [\tilde{A}, \tilde{B}] | \psi \rangle|^2$$

(30)

This is the general form of the Uncertainty principle.

Let's apply it in some simple cases.

If $A = x$, $B = p$ in 1 dimension

$$[x, p] = i\hbar \mathbb{1}$$

$$(\Delta x)^2 (\Delta p)^2 \geq \frac{\hbar^2}{4} \Rightarrow \Delta x \Delta p \geq \frac{\hbar}{2} \quad (31)$$

The nice thing about this is that it is universal. The inequality holds for any $|\psi\rangle$.

Can the inequality be saturated? This would require us to first saturate the Schwartz inequality, which implies

$$\tilde{x}|\psi\rangle = z \tilde{p}|\psi\rangle \quad (32) \quad z = \text{complex number}$$

and demanding

$$\langle \psi | \tilde{x} \tilde{p} + \tilde{p} \tilde{x} | \psi \rangle = 0 \quad (33)$$

Focus on (32) first. In real space

$$(x - \bar{x}) \psi(x) = z (-i\hbar \frac{d\psi}{dx} - \bar{p} \psi) \quad (34)$$

$$\text{or } \psi'(x) = \frac{i}{\hbar z} (x - \bar{x} + z\bar{p}) \psi$$

$$\Rightarrow \psi(x) = \psi(0) e^{\frac{i}{2\hbar z} (x - \bar{x} + z\bar{p})^2} \quad (35)$$
$$= \psi(0) e^{i\bar{p}(x - \bar{x}) + \frac{iz\bar{p}^2}{2\hbar} + \frac{i(x - \bar{x})^2}{2\hbar z}}$$

Now let's apply the condition (33)

We write it in the form

$$\langle \tilde{x} \Psi | \tilde{p} \Psi \rangle + \langle \tilde{p} \Psi | \tilde{x} \Psi \rangle = 0 \quad (36)$$

We already know

$$| \tilde{x} \Psi \rangle = z | \tilde{p} \Psi \rangle$$

$$\Rightarrow \langle \tilde{x} \Psi | = z^* \langle \tilde{p} \Psi |$$

So $(z + z^*) \langle \tilde{p} \Psi | \tilde{p} \Psi \rangle = 0 \quad (38)$

which means z is purely imaginary

Looking at (35) we see that if $\Psi(x)$ is normalizable $z = -i \frac{2\ell^2}{\hbar} \quad (39)$ where $\ell = \text{real}$

$$\Rightarrow \Psi(x) = \text{Const} e^{\frac{i\tilde{p}(x-\bar{x})}{\hbar}} e^{-\frac{(x-\bar{x})^2}{2\ell^2}} \quad (40)$$

This is the minimum uncertainty wavepacket

Let us consider a more complicated example. You probably know that the commutation relations satisfied by the angular momentum operators

$$\vec{L} = L_x \hat{i} + L_y \hat{j} + L_z \hat{k}$$

are

$$[L_i, L_j] = i\hbar \epsilon_{ijk} L_k \quad (41)$$

As we will learn later, the orbital angular momentum operators are quantized with eigenstates $|l, m\rangle$ $l, m = \text{integers}$

$$m \in [-l, l]$$

$$\vec{L}^2 |l, m\rangle = \hbar^2 l(l+1) |l, m\rangle$$

(42)

$$L_z |l, m\rangle = \hbar m |l, m\rangle$$

If the system is in a state of maximal L_z , which is $|l, l\rangle$ then in this state

$$(\Delta L_x)^2 (\Delta L_y)^2 \geq \frac{\hbar^2}{4} l^2$$

(43)

$$\Delta L_x \Delta L_y \geq \frac{\hbar}{2} l$$

The Energy-time Uncertainty Relation

This has a very different status than those n dynamical variables like x, p . Remember, t is a parameter in QM. There are a couple n different ways to interpret the Et uncertainty relation.

The simplest way to think about the energy-time uncertainty relation is simply via Fourier transforms.

Say we have a plane wave of light of nominal frequency ω . We open a shutter for a finite time T to let the wave go through and then we close the shutter.

$$\Rightarrow f(x,t) = \cos(kx - \omega t) \Theta\left(x - ct + \frac{cT}{2}\right) \Theta\left(\frac{cT}{2} - x + ct\right) \quad (44)$$

The step functions make sure $|x - ct| < \frac{T}{2}$

Let's Fourier transform this

$$f(x,t) = \int_{-\infty}^{\infty} \frac{d\omega'}{2\pi} f(x,\omega') e^{-i\omega't}$$
$$\Rightarrow f(x,\omega') = \int_{-\infty}^{\infty} dt e^{i\omega't} f(x,t) \quad (45)$$

$$= \int_{-\frac{T}{2} + \frac{x}{c}}^{\frac{T}{2} + \frac{x}{c}} \cos(kx - \omega t) e^{i\omega' t} dt = \frac{1}{2} \int_{-\frac{T}{2} + \frac{x}{c}}^{\frac{T}{2} + \frac{x}{c}} dt e^{-i\omega' t} \left[e^{i(kx - \omega t)} + e^{-i(kx - \omega t)} \right] \quad (46)$$

$$= \frac{1}{2} e^{ikx} \frac{e^{-i(\omega + \omega')(\frac{T}{2} + \frac{x}{c})} - e^{-i(\omega + \omega')(-\frac{T}{2} + \frac{x}{c})}}{-i(\omega + \omega')} + \frac{1}{2} e^{-ikx} \frac{e^{-i(\omega' - \omega)(\frac{T}{2} + \frac{x}{c})} - e^{-i(\omega' - \omega)(-\frac{T}{2} + \frac{x}{c})}}{-i(\omega' - \omega)}$$

Recall, for light in vacuum $k = \omega/c$, $k' = \omega'/c$

$$f(x, \omega') = e^{-ik'x} \left\{ \frac{\sin[(\omega + \omega')T/2]}{\omega + \omega'} + \frac{\sin[(\omega' - \omega)T/2]}{\omega' - \omega} \right\} \quad (47)$$

This contains all frequencies, not just ω .

However, it is sharply peaked around $\omega' = \pm \omega$, with a "width" $\Delta\omega' \approx \frac{1}{T}$ (48)

So opening the shutter for a time Δt leads to an uncertainty of frequency of

$$\Delta\omega' \approx \frac{1}{\Delta t} \quad (49)$$

$$\Delta E = \hbar \Delta\omega' \approx \frac{\hbar}{\Delta t} \quad (50)$$

Here is a more physical interpretation of the $\Delta E \Delta t$ uncertainty relation.

Consider an initial state that is a superposition of energy eigenstates.

$$\begin{aligned} \mathcal{H}|\alpha\rangle &= \epsilon_\alpha|\alpha\rangle & \langle\alpha|\beta\rangle &= \delta_{\alpha\beta} \\ |\psi(0)\rangle &= \sum_{\alpha} \psi_{\alpha}|\alpha\rangle \end{aligned} \quad (51)$$

This state has some energy uncertainty ΔE

$$\begin{aligned} \bar{E} &= \langle\psi|\mathcal{H}|\psi\rangle = \sum_{\alpha} |\psi_{\alpha}|^2 \epsilon_{\alpha} \\ (\Delta E)^2 &= \langle\psi|(\mathcal{H}-\bar{E})^2|\psi\rangle = \sum_{\alpha} |\psi_{\alpha}|^2 \epsilon_{\alpha}^2 - \left(\sum_{\alpha} |\psi_{\alpha}|^2 \epsilon_{\alpha}\right)^2 \end{aligned} \quad (52)$$

We can time evolve it

$$|\psi(t)\rangle = \sum_{\alpha} |\alpha\rangle e^{-i\frac{\epsilon_{\alpha}t}{\hbar}} \psi_{\alpha} \quad (53)$$

Clearly \bar{E} and ΔE are time-independent.

Consider some operator A . If it commutes with \mathcal{H} its mean \bar{A} and $(\Delta A)^2$ will be time-independent, which is not interesting. So let's focus on the case where $[\mathcal{H}, A] \neq 0$.

Now we know from the most general uncertainty relation Eq (30)

$$(\Delta E)^2 (\Delta A)^2 \geq \frac{1}{4} |\langle \psi | [H, A] | \psi \rangle|^2 \quad (54)$$

Also, from Eq (5) $\langle \psi | [H, A] | \psi \rangle = -i\hbar \langle \psi | \dot{A} | \psi \rangle$ (55)

Thus $\Delta E \Delta A \geq \frac{\hbar}{2} \langle \dot{A} \rangle$ (56)

How long does it take to decide that $\langle A \rangle$ is changing? If this is Δt , then we demand

$$\langle \dot{A} \rangle \Delta t \approx \Delta A \quad (57)$$

This means that unless $\langle \dot{A} \rangle \Delta t$ is of order ΔA we don't know that there is any time-dependence in $\langle A \rangle$ at all. We must wait for at least

$$\Delta t \geq \hbar / 2 \Delta E \quad (58)$$

to measure it. The nice thing is A drops out! However, how can a state in a superposition of energies arise? Typically it is because some interaction has been turned on or off.