### NOTIVE GATRACTICENTERE

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#### **LECTURE 2:**

#### SUPERMASSIVE BLACK HOLES AND ACCRETION PROCESSES

Relativity and black holes

Spherical accretion on BHs and why do we need supermassive BHs

Disk accretion on BHs: geometrically-thin and thick disks: ADAF, ADIOS, CDAF, etc.

The central engine of AGN

# **BLACK HOLES**

#### First mentioning of a black hole in history (1783):

If the semi-diameter of a sphere of the same density as the Sun were to exceed that of the Sun in the proportion of 500 to 1, a body falling from an infinite height towards it would have acquired at its surface greater velocity than that of light, and consequently supposing light to be attracted by the same force in proportion to its vis *inertiae*, with other bodies, all light emitted from such a body would be made to return towards it by its own proper gravity.

*John Michell* (1724-1793) English clergyman and natural philosopher

$$\frac{1}{2}v^2 = \frac{GM}{R}$$
 are there objects with  $\frac{2GM}{Rc^2} \ge 1$ 

Laplace (1896) in 1<sup>st</sup> and 2<sup>nd</sup> edition of his book, then removed this note.... Karl Schwarzschild (1916) in a letter to Einstein from the front.... 1<sup>st</sup> nontrivial stationary solution to Einstein eqs.



John Michell



Karl Schwarzschild



# **BLACK HOLES**



◆ Schwarzschild black hole: J=0, Q=0
 → spherically-symmetric with radius R = 2GM/c<sup>2</sup>

→ smallest stable orbit at  $R_{min} = 3R_{\bullet}$ → minimal orbital period for  $M_{\bullet} \sim 10^{7-8} M_{\odot}$  → few hours (variability)

$$\begin{split} \mathrm{d}s^2 &= g_{\mu\nu} \mathrm{d}x^{\mu} \mathrm{d}x^{\nu} &= -\left(1 - 2M/r\right) \mathrm{d}t^2 + \frac{\mathrm{d}r^2}{1 - 2M/r} + r^2 \mathrm{d}\Omega^2 \ , \\ \mathrm{d}\Omega^2 &= \mathrm{d}\theta^2 + \sin^2\theta \,\mathrm{d}\varphi^2 \ , \end{split}$$

singularity!

# **BLACK HOLES**

#### **\*** Kerr (1963) black hole: axisymmetric $\rightarrow$ parameter *J*

$$ds^{2} = -dt^{2} + (r^{2} + a^{2}) \sin^{2}\theta d\varphi^{2} + \frac{2Mr(dt - a \sin^{2}\theta d\varphi)^{2}}{r^{2} + a^{2} \cos^{2}\theta} + (r^{2} + a^{2} \cos^{2}\theta) \left(d\theta^{2} + \frac{dr^{2}}{r^{2} - 2Mr + a^{2}}\right).$$



Kerr black hole

1<sup>st</sup> law of black hole physics: the increase of the BH mass is the sum of all energies added to the BH

2<sup>nd</sup> law of black hole physics: the total area of the horizon cannot decrease

Lense-Thirring effect: dragging of intertial frames

→ objects in the ergosphere cannot be at rest with respect to observer at ∞

Spherical accretion: Bondi-Hoyle solution Steady-state spherical inflow under gravity (at rest at  $\infty$ )  $\rightarrow$  mass conservation equation

eq.(1)

 $\rho \partial r$ 

1 др

But

u dr

 $p = \frac{1}{2} \frac{\partial p}{\partial p} \frac{\partial \rho}{\partial p}$ 

Spherical accretion: Bondi-Hoyle solution

eq.(4): 
$$u \frac{\partial u}{\partial r} = -\frac{1}{\rho} \frac{\partial p}{\partial r} - \frac{GM}{r^2}$$
  
So at  $r_s = GM/2c_s^2$  *u* is a maximum/minimum  
or  $u = c_s$  sonic transition  
happens at  $r_s$   
 $c_s = \text{const.} \rightarrow T$  determines the sonic point  
density at the sonic point:  $\rho_s = \frac{M}{4\pi r_s^2 c_s}$   
Definition of accretion radius:  $r_{acc} = \frac{2GM}{c_s^2}$   $r_{acc} = \frac{2GM}{c^2 + v^2}$  If M moving  
with speed v

 $\mathbf{V}$ 

Spherical accretion: Bondi-Hoyle solution

Insert 
$$r_{acc}$$
 into  $\dot{M}$   $\dot{M} \sim \frac{4\pi\rho G^2 M_{\odot}^2}{(c^2 + v^2)^{3/2}}$  accretion rate  
 $\dot{M} \sim 6 \ge 10^{-16} \left(\frac{M_{\odot}}{1M_{\odot}}\right)^2 M_{\odot}/yr$  for T=10<sup>4</sup> K v=0  
 $\rho = 10^{-24} \text{ g/cm}$ 

#### Eddington limit

For an AGN with observed bolometric luminosity L → estimate minimum M → spherically-symmetric accretion, fully ionized H

Flux at distance r

$$F = \frac{L}{4\pi r^2}$$

Corresponding radiation pressure on free electrons

$$P_{\rm rad} = \frac{L}{4\pi r^2 c}$$

using minimum cross section (Thomson)  $\sigma_{T} = 6.65 \ x \ 10^{-25} \ cm^{2}$ 

Resulting outward radiation force on a single electron:



#### Eddington limit

Balancing by gravity per proton (WHY?):

$$L = \frac{4\pi G cm_p}{\sigma_T} M = 1.3 \times 10^{38} \left(\frac{M}{M_{\odot}}\right) \text{ erg/s}$$

The Eddington luminosity

#### Inverting this formula and using AGN luminosity:

$$M = 8 \ge 10^5 \left(\frac{L}{10^{44} \text{erg/s}}\right) M_{\odot}$$
 this is minimum mass

<u>GMmp</u>

#### Need supermassive BHs to explain the AGN luminosity! → SMBH



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#### Spectra of AGN: two options

Energy of each proton is turned directly into heat by a shock at the surface or horizon:

$$\frac{GM}{r} \rightarrow \frac{3kT}{m_{p}} \rightarrow Ts \sim 1 \times 10^{12} \text{ K} \qquad \text{for neutron star} \\ \rightarrow \quad \sim 3 \times 10^{12} \text{ K} \qquad \text{for any mass BH}$$

Energy of each proton is thermalized and radiated away as a blackbody (at Eddington luminosity)

$$\begin{split} L_{E} &= 4\pi r^{2}\sigma T^{4} \\ &\rightarrow T_{bb} \sim 10^{7} \text{ K for neutron star} \\ &\sim 10^{5} \text{ K for SMBH of } 10^{8} \text{ M}_{\odot} \rightarrow \text{ R}_{s} = 2\text{GM}_{\odot} / \text{c}^{2} \sim 3\text{x}10^{13} \text{ cm} \end{split}$$

SMBH are expected to radiate from optical/UV → X-rays → γ rays ! Great fit to observations of AGN!



Fueling AGN

If  $L \sim L(Eddington) \rightarrow SMBH$  grows exponentially!

$$L = \frac{GM}{r} \stackrel{\dot{M}}{\leftarrow} <=> L_{edd} = \frac{4\pi Gcm_p}{\sigma_T} M_{\bullet}$$

$$\frac{1}{M_{\bullet}} dM_{\bullet} = const. x dt \xrightarrow{} \Rightarrow t_{Sal} = \frac{\eta \sigma_T c}{4\pi Gm_p} \sim 4.5 \eta_{0.1} 10^7 yr$$

$$M_{\bullet} = M_{0\bullet} e^{t/t(Sal)} \xrightarrow{} Salpeter (1964) e-folding time for the BH growth at the Eddington rate$$



 $\bullet$  Disk accretion: Shakura-Sunyaev-Novikov-Thorne solution  $\rightarrow$  geometrically-thin disks



 $\Sigma = surface$  density of a disk with a half-thickness Hin **cylindrical** coordinates R,  $\phi$ , z  $v_{\phi} = v_{K} = (GM_{\odot}/R)^{1/2}$  rotation velocity is Keplerian  $\rightarrow$  disk is supported by rotation only

radial pressure gradient  $\rightarrow$  neglect



accretion disks are universal mechanism to get rid of J



For gas to move inward  $\rightarrow J/M = \mathbf{R} \times \mathbf{v} = (\mathbf{GM} \mathbf{R})^{1/2}$  must move out!  $\rightarrow$  needs (coefficient of kinematic) viscosity v !  $\nu \sim v_T \lambda \rightarrow$  thermal velocity x mean free path  $\rightarrow$  assume viscous torque  $\overline{G(R)}$  between neighboring disk rings

◆ Disk accretion: Shakura-Sunyaev-Novikov-Thorne solution → geometrically-thin disks
Assume G(R) viscous torque between neighboring disk rings:
G(R) = 2πR ΣR<sup>2</sup> vΩ' where Ω' = dΩ/dR
RdΩ/dR ← shear
Ω=(GM\_0/R^3)^{1/2} → angular velocity in Keplerian disk
Net torque on a disk ring between R and R+ΔR is  $G(R + ΔR) - G(R) = \frac{∂G}{∂R} ΔR$ eq.(5)

Torque does work at a rate:

Σ

$$\Omega \frac{\partial G}{\partial R} \Delta R = \begin{bmatrix} \frac{\partial}{\partial R} (G\Omega) - G\Omega' \end{bmatrix} \Delta R \quad \text{eq.(6)}$$
transport of dissipation per unit area

\* Disk accretion: geometrically-thin disks  $\rightarrow$  viscous torque

Now, assume that the gas in the disk has a small radial velocity  $v_R$ 

 $\begin{bmatrix} R \frac{\partial \Sigma}{\partial t} + \frac{\partial}{\partial R} (R \Sigma v_R) = 0 & \text{eq.}(7) \\ \text{equation of the mass conservation in the disk (continuity eq.)} \\ R \frac{\partial}{\partial t} (\Sigma R^2 \Omega) + \frac{\partial}{\partial R} (R \Sigma v_R R^2 \Omega) = \frac{1}{2\pi} \frac{\partial G}{\partial R} & \text{eq.}(8) \\ \text{equation of } J \text{ conservation in the disk} \end{bmatrix}$  $u_R(R,t) = -\frac{3}{\Sigma R^{1/2}} \frac{\partial}{\partial R} (\nu \Sigma R^{1/2}) \qquad \text{insert into eq.(7)}$ 

\* Disk accretion: geometrically-thin disks  $\rightarrow$  timescales

$$\frac{\partial^2}{\partial R^2} \longrightarrow \frac{1}{R^2} \qquad \qquad t_{\rm acc}^{-1} = \frac{1}{\Sigma} \frac{\partial \Sigma}{\partial t}$$

 $t_{visc} \sim R^2/\nu \text{ viscous timescale is extremely long! Need "anomalous" viscosity!}$   $t_{dyn} \sim R/\nu_K \sim \Omega^{-1} \sim (R^3/GM_{\bullet})^{1/2} \quad \text{dynamical timescale}$   $t_{th} \sim \Sigma c_s^2/D(R) \sim R^3 c_s^2/GM_{\bullet}\nu \sim \frac{R^2}{\nu} \frac{c_s^2}{v_K^2} \sim \frac{H^2}{R^2} t_{visc}$   $t_{dyn} < t_{th} < t_{visc}$ 

★ Disk accretion: geometrically-thin disks → example Assume an infinitesimally thin ring with mass *m* and radius  $R_0 \rightarrow x=R/R_0$ ;  $\tau = t (12v/R_0^2)$ 



\* Disk accretion: geometrically-thin disks  $\rightarrow$  steady-state

Steady-state radial momentum conservation equation:

$$u_{R}\frac{\partial u_{R}}{\partial R} - \frac{u_{\phi}^{2}}{R} + \frac{1}{\rho}\frac{\partial P}{\partial R} + \frac{GM_{\bullet}}{R^{2}} = 0$$

$$\frac{1}{\rho}\frac{\partial P}{\partial z} = -\frac{GM_{\bullet}z}{R^{3}} \quad \text{vertical hydrostatic equilibrium} \rightarrow H \sim c_{s} (GM_{\bullet}/R^{3)-1/2} \sim c_{s} \Omega^{-1} \sim (c_{s}/v_{\phi}) R$$

$$\dot{M} = -2\pi R\Sigma u_{R} \quad \text{mass conservation} \rightarrow \text{mass inflow rate (accretion rate)} \quad \partial\Sigma/\partial t = 0$$

$$-\nu\Sigma\frac{\partial\Omega}{\partial R} = -\Sigma u_{R}\Omega - \frac{C}{2\pi R^{3}} \quad \text{integrating equation of } J \text{ conservation}$$

$$\rightarrow C = \dot{M} (GM_{\bullet}R_{0})^{1/2} - \text{constant of integration}$$

$$\nu\Sigma = \frac{\dot{M}}{3\pi} \left[ 1 - \left(\frac{R_{0}}{R}\right)^{1/2} \right] \quad \text{where } R_{0} = 3R_{\bullet} \text{ is the innermost stable orbit around BH}$$

\* Disk accretion: geometrically-thin disks  $\rightarrow$  emission Dissipation rate of rotational kinetic energy per per unit time per unit area

$$D(R) = \frac{G\Omega'}{4\pi R} = \frac{1}{2} v \Sigma (R\Omega')^2 = \frac{9}{8} v \Sigma \frac{GM}{R^3} \qquad \text{for Keplerian disk} \qquad D(R) = \frac{3 \text{GM} \dot{M}}{4\pi R^3} \left[ 1 - \left(\frac{R_0}{R}\right)^2 \right]$$

**no dependency** on viscosity!

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$$L_{\text{disk}} = \int_{R_0}^{\infty} D(R) 2\pi R dR = \frac{1}{2} \frac{GM_{\bullet}\dot{M}}{R_0} \quad \text{only } 0.5E_{\text{pot}} \rightarrow \text{the rest is sucked in by the BH}$$

If accretion disk optically thick (blackbody)  $\rightarrow$  emissivity B(T) ~  $\sigma$  T<sup>4</sup>  $\rightarrow$  T~ R<sup>-3/4</sup>

Accretion disk spectrum:

$$S_{\nu} \propto \int_{R_{\rm in}}^{R_{\rm out}} B_{\nu}[T(R)] 2\pi R dR$$



\* Disk accretion: geometrically-thin disks  $\rightarrow$  emission Viscosity responsible for energy-to-radiation conversion



(Shakura & Sunyaev 1973)

→ definition of α - viscosity →  $[v] = cm^2/s$  α - dimensionless

Remember:  $v \sim \lambda v_T \rightarrow \alpha c_s H$ 

 $\lambda \leq H \text{ and } v_T \leq c_s \rightarrow \alpha \leq 1$ 

 $\alpha \ll 1$  $\alpha = 10^{-2}$ M~M<sub>cr</sub> ν<sup>1/3</sup> 10  $\alpha \sim 1$  $\alpha \ll 1$  $10^{-2}$  $\alpha \sim 1$  $\dot{M} \simeq 10^{-2} \dot{M}_{cr} v^{1/3}$ 10-3 kT=10<sup>8</sup> °K 10 10-3 10-2  $10^{-1}$ 

 $S_{\nu} \propto \int_{R}^{R_{\rm out}} B_{\nu}[T(R)] 2\pi R dR$ 

Spectra of geometrically-thin, optically-thick accretion disks 21

\* Disk accretion: geometrically-thin disks  $\rightarrow$  spin up of the BH

Accretion of angular momentum J

 $\dot{J} \sim \dot{M} (GM_R_0)^{1/2}$  photon emission limits J for the BH

Dimensionless angular momentum

$$a = \frac{cJ}{GM_{\bullet}^2}$$

where  $0 \le a \le 1$ 

Disk accretion: evidence for SMBHs from X-ray spectroscopy
 Measuring spectral line profiles from the inner disk

Fe lines in X-rays **Newtonian case ONLY!** 



 $\frac{\Delta v}{v} = \frac{v_{obs}}{c}$ 





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**\*** Disk accretion: evidence for SMBHs from X-ray spectroscopy Fe lines in X-rays **relativistic case**  $\rightarrow$  several effects

**Transverse Doppler effect:** moving clock slows down  $\rightarrow$ observed v is reduced compared to the rest frame by  $(1 - v^2/c^{2})^{-1/2}$ 

**Beaming:** boosts blue wing of the line, attenuates red wing

**Gravitational redshift:** more shift to lower energies **Integrate over all radii** and predict:

broad, asymmetric line profile with a sharp cutoff at high E



Newtonian

Line profile

#### Disk accretion: evidence for SMBHs from X-ray spectroscopy

Fe lines in X-rays  $\rightarrow$  observations







Fe line profile for Seyfert galaxy MCG-6-30-15 using XMM-Newton Fe line profile: often extremely broad
→ detailed modeling of the line shape
→ rapidly spinning SMBH
Best proof to date of presence of SMBHs in AGN! 25

#### Disk accretion: comptonization

Seed photons are up-scattered, then become the "new" seed photons for following scatterings → the overall spectrum resembles that of a powerlaw Thermal Comptonization: electrons have a Maxwellian distribution. Cut-off in the powerlaw when the process of transferring energy from electrons to photons is not efficient anymore (E<sub>cut-off</sub>≈kT<sub>electrons</sub>)



#### Disk accretion: evidence for SMBHs from X-ray spectroscopy

#### Compton and inverse Compton effects



Inverse Compton



#### \* Disk accretion: geometrically-thin disks $\rightarrow$ thermal emission





# **AGN: PHYSICAL CLASSIFICATION**



In addition, dependence on the viewing angle

### **EFFICIENCY OF ENERGY-TO-RADIATION CONVERSION**

 $\clubsuit L = \eta \dot{M}c^2$ 

Gas falls in to the last stable orbit at  $3R_0$ 

Efficiency of potential energy-to-radiation conversion (Newtonian, but OK)

Actually, Schwarzschild BH:  $\eta \sim 0.06$ Kerr BH:  $\sim 0.42$ 

Taking  $\eta \sim 0.1$ 

 $\dot{M} \sim 10^{46} \text{ erg/s} / 0.1 \ c^2 \sim 10^{26} \text{ g/s} \sim 2 \ M_{\odot}/\text{yr}$ 

$$\gamma = \frac{GM \dot{M}}{6GM c^{2}} \frac{c^{-2}}{\dot{M}} \sim 0.17$$

HST image of gas accreting onto SMBH in elliptical galaxy NGC 4261



# **EFFICIENCY OF ENERGY-TO-RADIATION CONVERSION**

Fadv

adv

Slim disks: non-Keplerian angular momentum

Shakura & Sunyaev disks: all dissipated energy is Radiated locally  $\rightarrow$  viscous heating = radiative cooling

Good, if  $\dot{M} << \dot{M}_{Edd}$ when  $L > 0.3L_{Edd} \rightarrow v_R$  and H increase

additional cooling: advection Why? → photons have no time to escape



Advective-to-radiation fluxes as a function of M

r [M]

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Sadowski (2011)

Still slim disks are luminous and radiation efficient  $\rightarrow \eta \sim 0.006 - 0.6$ 

Thick disk accretion: Advection Dominated Accretion Flow (ADAF)

Lightman, Eardley, Rees (1970s), Narayan & Yi (1990s), Abramowicz (1990s)

Nearly **all** viscosity-dissipated energy is carried (advected) into the SMBH and not radiated away  $\rightarrow$  dominated by gas pressure!

Two effects:

→dissipated E cannot be radiated locally → low L
→rotation is not Keplerian, but....

Hot disk with  $c_s \sim v_K$ ;  $v_R \sim \alpha v_K (H/R)^2 \sim 0.1-0.3 v_K$  $t_{acc} \sim R/v_R \sim t_{ff}/\alpha$ 

Low-density gas  $\rightarrow$  very long cooling time  $\rightarrow$  optically-thin!



Abramowicz and Fragile (2013)

♦ Thick disk accretion: ADAF→Radiatively-Inefficient Accretion Flow (RIAF)

# Low-luminosity AGN $L < 0.01 L_{Edd}$ thin disk RIAF R...≈100 - 1000R. jet/outflow



Thick disk accretion: Convection Dominated Accretion Flow (CDAF) Quataert and Gruzinov (2002)

Much of viscosity-dissipated energy is carried (advected) into the SMBH and not radiated away  $\rightarrow$  convection carries energy to large *R*, but not to  $\infty$  $\rightarrow$  SMBH grows slowly!

 ★ Thick disk accretion: Advection Dominated Inflow-Outflow Solution (ADIOS) Blandford & Begelamn (1999, 2004)
 Most of gas is driven away → only small amount accretes on the SMBH

 $\rightarrow$  SMBH grows slowly!



#### **CONCLUSIONS FOR TALK 2**

- Accretion disk physics is fundamental because it is basically the black hole physics
- AGN emerges as a diverse class of objects even when the central engine is only supermassive black holes
- We have observational confirmation that SMBHs reside in the AGN and power them in various ways
- ☆ The detailed physics of accretion flows is only now being understood as complicated, which has many solutions → thin and thick disks, ADAFs, CDAFs, ADIOS, etc...

Still unsolved are many details, and especially that of what is the source of fuel which powers SMBHs