

STELLAR ENCOUNTERS

- *encounters and relaxation timescales
- *timescales for real stellar systems

So far: perfectly smooth potential

In reality: individual stars have a bumpy ride...

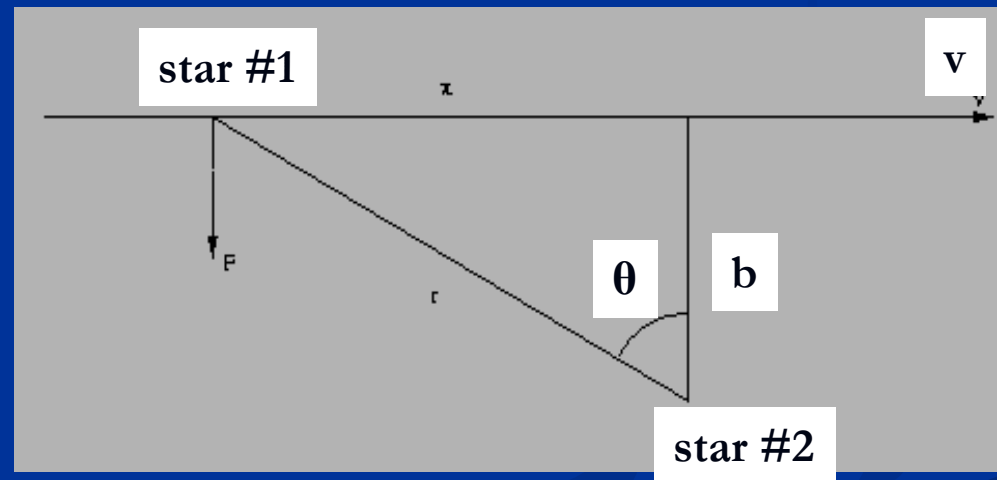
Under these conditions: is the collisionless approximation valid?

➤ Encounter and (2-body) relaxation timescales

Mean free path (between encounters): $\lambda \sim 1/nA$

where $A \sim b^2$ is cross section (b – impact parameter)

n – number density



Time between encounters:

$$\tau \sim 1/nAv$$

where v – is the mean velocity between encounters

Consider 3 regimes: direct collision (tidal capture)

strong encounters

weak encounters

❖ Direct collision (tidal capture)

For $b \sim \text{few} \times R_*$ (where R_* is the stellar radius):

strong tides \rightarrow orbital energy dissipates, tidal capture

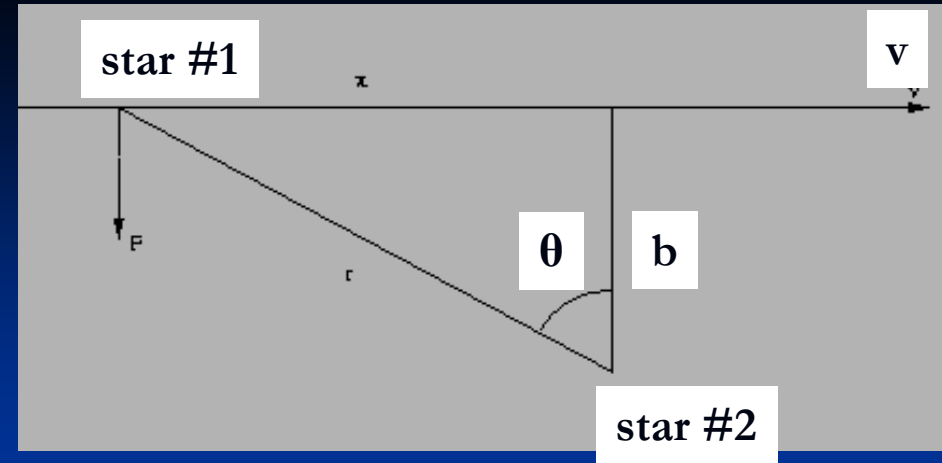
depending on circumstances, stars can coalesce



exceedingly rare in galaxies!

❖ Strong deflections

Defined as $\Delta v \sim v$ and occurring when $b = r_s$ (s for strong) is sufficiently small



From virial theorem: $Gm^2/r_s = mv^2$ so $r_s = Gm/v^2$
(where m – stellar mass)

This is about 1 AU where $v \sim 20-30$ km/s

Near Sun: $v \sim 10$ km/s
 $n \sim 0.1$ pc⁻³

The time interval between collisions, $t_s = 1/nr_s^2v = v^3/G^2m^2n \sim 10^{15}$ yrs

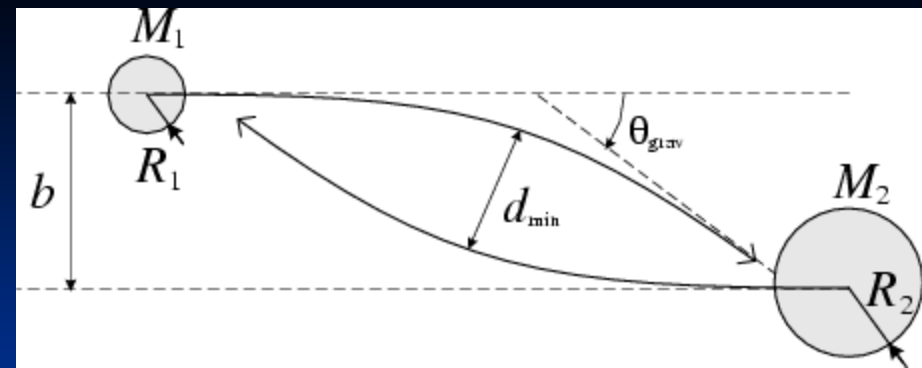


This is very rare for galaxies, but can be relevant for:
globular clusters cores, galactic nuclei and clusters of galaxies

The Sun hasn't been in a strong encounter since its origin,
or the planetary system would be destroyed...

❖ Weak deflections

- **Defined** as $\Delta \mathbf{v} \ll \mathbf{v}$, so $\mathbf{b} \gg \mathbf{r}_s$



Estimate deflection velocity towards the target star:

Time in the vicinity of a target star is $\Delta t \sim 2b/v$

Perpendicular acceleration is about $Gm/b^2 \rightarrow \Delta \mathbf{v}_{\perp} \sim 2Gm/bv$

Deflection angle (in the solar neighborhood):

$$\Delta \mathcal{G} \sim \Delta \mathbf{v}_{\perp} / v \sim 2Gm/bv^2 \sim 2''$$

- **After** many encounters: $\Delta \mathbf{v}_{\text{tot}} \sim \sum \Delta \mathbf{v}$

Since the deflection orientations are random:

$\Delta \mathbf{v}_{\text{tot}}$ performs random walk

The amplitude (squared) of this random walk after time t :


$$d^2 \sim \sum \lambda^2 N \sim N \sum (\Delta v_{\perp})^2$$

$$|\Delta V_{\text{tot}}|^2 = \sum |\Delta V|^2 = \int_{b_{\text{min}}}^{b_{\text{max}}} \left(\frac{2Gm}{bV} \right)^2 t nV 2\pi b db \quad (4-1a)$$

$$= \frac{8\pi G^2 m^2 n t}{V} \ln \Lambda \quad (4-1b)$$

where $\Lambda = b_{\text{max}}/b_{\text{min}}$

Relaxation time: when $\Delta v_{\text{tot}} \sim v$. So changing $v \rightarrow \sigma$,


$$t_{\text{relax}} \simeq 0.34 \frac{\sigma^3}{G^2 m \rho \ln \Lambda}$$

$$\simeq \frac{1.8 \times 10^{10} \text{ yr}}{\ln \Lambda} \sigma_{10}^3 m_{\odot}^{-1} \rho_3^{-1}$$

where $[\sigma] = 10 \text{ km/s}$; $[m] = M_{\odot}$; $[\rho] = 10^3 M_{\odot}/\text{pc}^3$

- Alternative expressions for (2-body) relaxation time

Using eq.(4-1b)

$$|\Delta V_{\text{tot}}|^2 = \sum |\Delta V|^2 = \frac{8\pi G^2 m^2 n t}{V} \ln \Lambda$$

and a system of a size \mathbf{R} containing \mathbf{N} stars: $n = 3\mathbf{N}/4\pi\mathbf{R}^3$

From the virial theorem: $v^2 = \mathbf{GM}/\mathbf{R} = \mathbf{GNm}/\mathbf{R}$

and the system relaxes after $\Delta v_{\text{tot}} = v$

Take $b_{\text{max}} \sim \mathbf{R}$, $b_{\text{min}} \sim r_s = \mathbf{Gm}/v^2 \rightarrow b_{\text{max}}/b_{\text{min}} = \Lambda \sim \mathbf{N}$

Next choose units of time as crossing time: $t = t_{\text{cross}} \sim \mathbf{R}/v$

Substituting:

$$t_{\text{relax}} \simeq t_{\text{cross}} \frac{N}{6 \ln N}$$

The answer depends only on \mathbf{N} (!)

Note, that in

$$t_{\text{relax}} \simeq t_{\text{cross}} \frac{N}{6 \ln N}$$

$t_{\text{relax}} > t_{\text{cross}}$ for $N > 30$

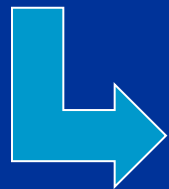


So, to a good approximation: stars orbit in overall potential

Equal logarithmic intervals in b have equal contribution to long term deflections : from R to $0.5R$, from $0.5R$ to $0.25R$

However, because of

$$\Delta \mathcal{G} \sim \Delta v_{\perp} / v \sim 2Gm/bv^2 \sim 2''$$



$\Delta v/v \sim 1/b \rightarrow$ for systems with $R \gg b_{\text{min}}$, most scattering is due to **weak encounters** ($\Delta v \ll v$)

➤ Timescales in real stellar systems

System	N	R (pc)	t_{cross}	t_{relax}	t_{age}	age/relax
Open cluster	10^2	2	10^6	10^7	10^8	10
Glob. cluster	10^5	4	$10^{5.6}$	$10^{8.4}$	10^{10}	20
Elliptical g-y	10^{11}	$10^{4.5}$	10^8	$10^{16.4}$	10^{10}	10^{-7}
Gal. nucleus	10^8	10	$10^{4.2}$	10^{10}	10^{10}	1
Cluster of g-s	10^2	$10^{5.6}$	10^9	$10^{9.3}$	10^{10}	3

2-body relaxation may be relevant for galactic nuclei and clusters of galaxies, but can be completely neglected for galaxies

DYNAMICS OF GALACTIC DISKS

Stellar orbits:

- *epicyclic approximation
- *resonances
- *density waves
- *disk instabilities

➤ Epicyclic approximation

❖ Overview

- Assume: disk stars have circular trajectories with small deviations

Use Ptolemaic approximation – stellar orbits are superpositions of:

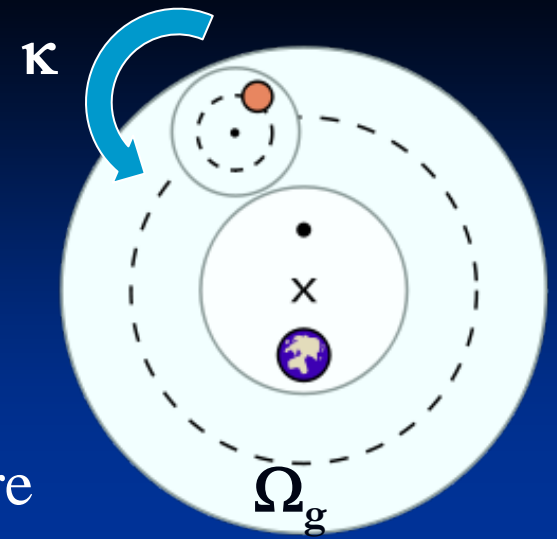
circular orbits along **guiding center** (deferent), radius R_g ,
angular velocity Ω_g

smaller elliptical **epicycle**, angular velocity κ , **retrograde**

- Consider gravity/centrifugal balance and conservation of angular momentum ($AM = J$)

put the star at the guiding center (GC) and perturb it
radially outward

conserving $AM = mrv_\phi \rightarrow$ increase in r means the star
moves backwards (relatively to GC)



The new balance between gravity and centrifugal forces:

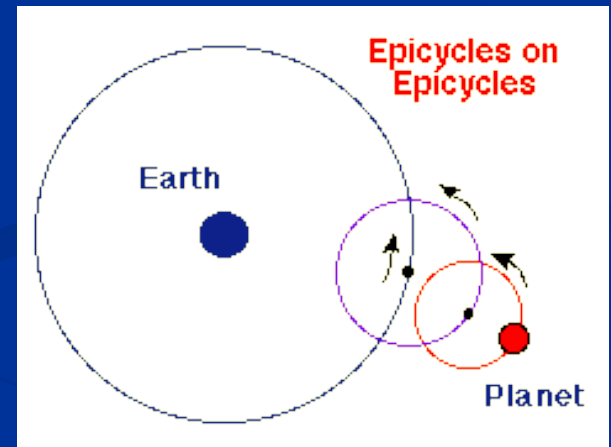
if $AM = \text{const} \rightarrow F_{\text{centrifug}} \sim v_{\phi}^2 / r \sim r^{-3}$ while F_{grav} fall slower than r^{-2}

at larger r : $F_{\text{grav}} > F_{\text{centrifug}}$ and the star is pulled inwards
(relative to GC)



as the star falls in, r decreases and v increases \rightarrow the star moves forward (rel to GC)

but $F_{\text{centrifug}} > F_{\text{grav}}$ and the star moves forward again



the cycle repeats \rightarrow a star moves on a small **retrograde epicycle**

• In general, Ω_g and κ are different \rightarrow so the orbit does not close

however, as we shall see: from the reference frame rotating with $\Omega_g - \kappa/2 \rightarrow$ the orbits do nearly close (closed ellipses)

- Consider a smooth axisymmetric flattened mass distribution with potential $\Phi(\mathbf{R}, z)$ and $\mathbf{J} = \text{const.}$ (no azimuthal forces!), and using cylindrical coordinate system:

$$\ddot{\mathbf{R}} = -\nabla\Phi(\mathbf{R}, z); \quad L_z = R^2 \dot{\phi} = \text{const}$$

Test particle velocity in the $z=0$ plane:

$$v^2 = \dot{R}^2 + (R\dot{\phi})^2$$

Lagrangian: $L = K - \Phi$

$$L = 0.5m[\dot{R}^2 + (R\dot{\phi})^2] - m\Phi$$

$$\frac{\partial L}{\partial \dot{R}} = m \dot{R} \quad \frac{\partial L}{\partial R} = mR\dot{\phi}^2 - \frac{\partial \Phi}{\partial R}$$

$$\frac{\partial L}{\partial \dot{\phi}} = m R^2 \dot{\phi} \quad \frac{\partial L}{\partial \phi} = 0$$

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{R}} \right) - \left(\frac{\partial L}{\partial R} \right) = 0$$

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{\phi}} \right) - \left(\frac{\partial L}{\partial \phi} \right) = 0$$

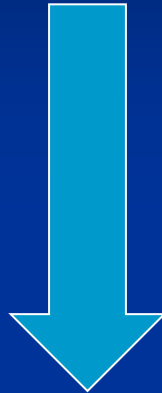


$$\frac{d}{dt} (m\dot{R}) - mR\dot{\phi}^2 + \frac{d\Phi}{dR} = 0$$

$$\frac{d}{dt} (mR\dot{\phi}) = 0$$

$$\frac{d}{dt} (m\dot{R}) - mR\dot{\phi}^2 + \frac{d\Phi}{dR} = 0$$

$$\frac{d}{dt} (mR\dot{\phi}) = 0$$



Separating motions
along each coordinate:

in the plane $z=0$

$$\ddot{R} - R\dot{\phi}^2 = -\frac{\partial \Phi}{\partial R} ; \quad \frac{d}{dt}(L_z) = 0 ; \quad \ddot{z} = -\frac{\partial \Phi}{\partial z}$$

net acceleration – centrifugal = gravity

out of $z=0$ plane

❖ Vertical (z) motions

Since the disk is symmetric with respect to $z=0$:

$$\left(\frac{\partial \Phi}{\partial z}\right)_{z=0} = 0$$

Consider small motions about the plane $z=0$:

$$\ddot{z} = -\left(\frac{\partial \Phi}{\partial z}\right)_{z=0} - z\left(\frac{\partial^2 \Phi}{\partial z^2}\right)_{z=0} = -z\left(\frac{\partial^2 \Phi}{\partial z^2}\right)_{z=0} = -\nu^2 z$$

This results in a simple harmonic motion with (vertical) frequency ν :

$$z(t) = Z \cos(\nu t + \psi_0)$$

For MW galaxy near the Sun: $\nu^2 = 4\pi G\rho_0 \rightarrow 2\pi/\nu \sim 6.5 \cdot 10^7 \text{ yrs}$
 $\sim 1/3$ of the circular period

❖ Radial motions

- Consider first the circular motion with $\mathbf{R}=\text{const}$ (it has $\mathbf{R}=\mathbf{R}_g$, circular velocity v_c and Ω_g):

$$-\left(\frac{\partial \Phi}{\partial R}\right)_{R_g} = \frac{V_c^2}{R_g} = R_g \Omega_g^2$$

For non-circular orbits, the radial acceleration is given by:

$$\ddot{R} = R \dot{\phi}^2 - \frac{\partial \Phi}{\partial R} \quad (4-2)$$

where the 2nd term on the right is the forcing term

- This can be written as

$$\ddot{R} = \frac{\partial \Phi_{\text{eff}}}{\partial R} \quad \text{where} \quad \Phi_{\text{eff}} = \Phi(R, z) + \frac{L_z^2}{2R^2}$$

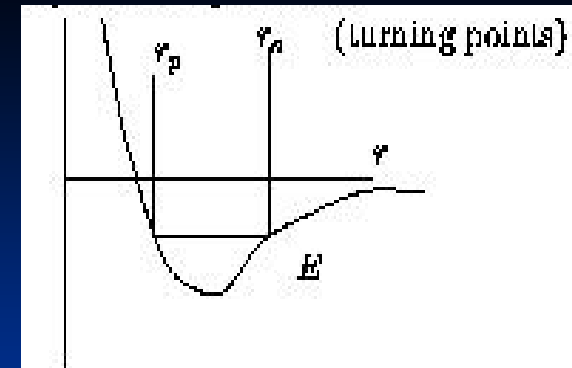
and Φ_{eff} is the effective potential

The effective potential behaves:

at small R : sharp increase \rightarrow centrifugal barrier

at large R : slow increase

minimum: at $R=R_g \rightarrow$ circular orbit of the GC



$$\left(\frac{\partial \Phi_{\text{eff}}}{\partial R} \right)_{R_g} = 0 = \left(\frac{\partial \Phi}{\partial R} \right)_{R_g} - R_g \dot{\phi}_g^2 = \left(\frac{\partial \Phi}{\partial R} \right)_{R_g} - \frac{V_c^2}{R_g}$$

• Other orbits will oscillate around R_g

Consider the potential at $R=R_g + x$ (where x – small perturbation):

$$\ddot{R} = \ddot{x} = - \left(\frac{\partial \Phi_{\text{eff}}}{\partial R} \right)_{R_g} - x \left(\frac{\partial^2 \Phi_{\text{eff}}}{\partial R^2} \right)_{R_g} = -x \left(\frac{\partial^2 \Phi_{\text{eff}}}{\partial R^2} \right)_{R_g} = -\kappa^2 x$$

harmonic motion about the GC: $x(t) = X \cos(\kappa t + \phi_0)$

with an epicyclic frequency κ , where

$$\kappa^2 = \left(\frac{\partial^2 \Phi_{\text{eff}}}{\partial R^2} \right)_{R_g} = \left(\frac{\partial}{\partial R} \left(\frac{\partial \phi}{\partial R} \right) \right)_{R_g} + \frac{L_z^2}{R_g^4} = \left(R \frac{d\Omega^2}{dR} + 4\Omega^2 \right)_{R_g}$$

❖ Azimuthal motion

Azimuthal acceleration = 0 $\rightarrow L_z = R^2 \dot{\phi} = \text{const}$

In cylindrical coordinates, this means $2\dot{R}\dot{\phi} + R\ddot{\phi} = 0$ (4-3)

Since $L_z = R_g^2 \Omega_g = R^2 \Omega = \text{const.}$: changes in $R \rightarrow$ changes in Ω

Remember: $\Omega = \dot{\phi}$

$$\dot{\phi} = \frac{L_z}{R^2} = \frac{L_z}{(R_g + x)^2} \simeq \frac{L_z}{R_g^2} \left(1 - \frac{2x}{R_g}\right) = \Omega_g \left(1 - \frac{2x}{R_g}\right)$$

Integration results in:

$$\phi(t) = \Omega_g t - \frac{2\Omega_g X}{\kappa R_g} \sin(\kappa t + \phi_0)$$

$\rightarrow \phi(t)$ follows the GC with a small amplitude harmonic motion superposed

Taking y -axis in the forward direction with the origin on the GC:

$y = R_g \phi(t) \rightarrow$

$$y(t) = -\frac{2\Omega_g}{\kappa} X \sin(\kappa t + \phi_0)$$

the same κ , but out of phase by 90°

Summarizing and taking $\phi_0 = 0$, we have:

$$x = X \cos(\kappa t)$$

$$y = -(2\Omega/\kappa) X \sin(\kappa t)$$

elliptical epicycle with radial/azimuthal
axis ratio $\kappa/2\Omega$

epicyclic motion is retrograde
(Ptolemy's was prograde!)

for stars with the same R_g , the velocity ellipsoid is $\sigma_R/\sigma_\theta \sim \kappa/2\theta$

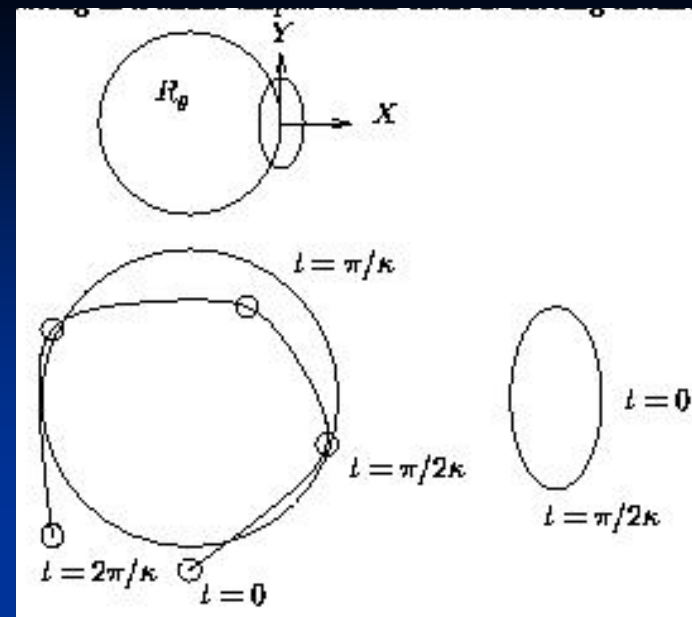
for Keplerian potential: $\Omega \sim R^{-3/2} \rightarrow \kappa = \Omega$ closed ellipse centered
at the ellipse focus with axis ratio 2:1

(Ptolemy's: 1:1 circles)

for flat rotation curve: $\Omega \sim R^{-1} \rightarrow \kappa^2 = 2\Omega^2$

for solid body rotation: $\kappa = 2\Omega$

in general: $\Omega < \kappa < 2\Omega \rightarrow \kappa > \Omega$ and the epicycle is completed
before rotation



➤ Resonances

- Rotating patterns: observations show that spiral arms and stellar bars are density enhancements. Their patterns are neither stationary or move with the stars.
- Instead, they move with their own angular speed Ω_p called **pattern speed**
- Interactions of this pattern speed with epicyclic motion



resonances



M101



NGC 1300

❖ Orbits in a spiral pattern

Describe the spiral pattern as $\Phi + \delta\Phi$:

$$\delta\Phi(\mathbf{R}, \phi, t) = \delta\Phi(\mathbf{R}) e^{i(m\phi - \omega t)} \quad m=0, 1, 2, \dots \quad (4-4)$$

At fixed \mathbf{R} , a point of a **constant phase** = $m\phi - \omega t$, so

$$\dot{\phi} = \frac{\omega}{m} \equiv \Omega_p \quad \leftarrow \text{pattern frequency}$$

This is how fast the pattern goes around

Using eq.(4-2) for radial oscillations:

$$\ddot{R} = R\dot{\phi}^2 - \frac{\partial\Phi}{\partial R}$$

We add perturbation given by eq.(4-4):

forcing term

radial:

$$\ddot{\mathbf{R}} - \mathbf{R}\dot{\phi}^2 = -\frac{\partial\Phi}{\partial\mathbf{R}} - \frac{\partial\delta\Phi}{\partial\mathbf{R}} \cos(\dots) \quad (4-5a)$$

The same for azimuthal motion given by eq.(4-3): $2\dot{\mathbf{R}}\dot{\phi} + \mathbf{R}\ddot{\phi} = 0$

azimuthal:

$$\ddot{\mathbf{R}}\phi + 2\dot{\mathbf{R}}\dot{\phi} = m \delta\Phi(\mathbf{R}) \sin(\dots) \quad \text{azimuthal gradient of perturbing potential} \quad (4-5b)$$

To solve eqs.(4-5ab):

$$\ddot{\mathbf{R}} - \mathbf{R} \dot{\varphi}^2 = -\frac{\partial \Phi}{\partial \mathbf{R}} - \frac{\partial \delta \Phi}{\partial \mathbf{R}} \cos(\dots)$$

$$\mathbf{R} \ddot{\varphi} + 2 \dot{\mathbf{R}} \dot{\varphi} = m \delta \Phi(\mathbf{R}) \sin(\dots)$$

use

$$\mathbf{R} = \mathbf{R}_0 + \delta \mathbf{R}$$

$$\varphi = \varphi_0 + \delta \varphi$$

and assume $\delta A \ll A$ (amplitudes) \leftarrow good except at the resonance

Solution:

$$\delta \mathbf{R} = \delta A \frac{1}{\Delta} \cos[m\varphi_0 + m(\Omega_0 - \Omega_p)t]$$

where

$$\Delta = \kappa_0^2 - m^2(\Omega_0 - \Omega_p)^2$$

$$\delta A = -\frac{\partial \delta \Phi}{\partial \mathbf{R}} + \frac{2\Omega_0 \delta \Phi(\mathbf{R})}{\mathbf{R}_0(\Omega_p - \Omega_0)}$$

Singularities at $\Delta=0 \rightarrow$ Lindblad resonances

Singularity at $\Omega = \Omega_p \rightarrow$ corotation resonance

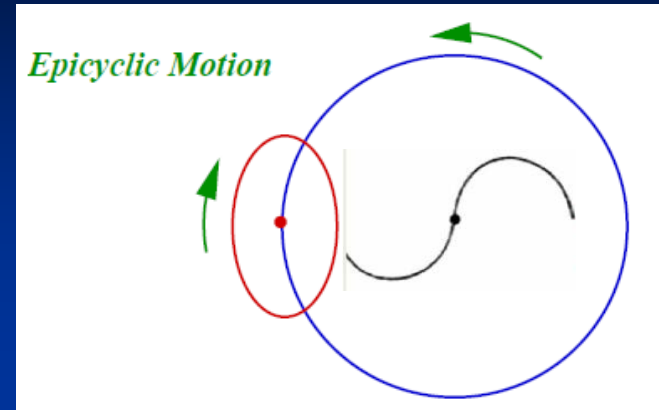
- **Corotation resonance:** ϕ – component of the force is constant in stars frame

Stars that orbit at the pattern speed $\Omega_* = \Omega_p$ experience a persistent non-axisymmetric perturbation



their response will build up

Can pump energy into either radial or azimuthal part



- **Lindblad resonances:** consider stars that complete exactly one epicycle between the passage of each arm
→ resonance interaction with each arm



epicyclic amplitude is amplified

where do such resonances occur in a galaxy?

- If the star moves with $\Omega > \Omega_p \rightarrow$ star overtakes the arm

If the star moves with $\Omega < \Omega_p \rightarrow$ arm overtakes the star

Angular frequency of encountering the arms is $m(\Omega_p - \Omega)$



condition for a resonance:

$$m(\Omega_p - \Omega) = \pm \kappa$$



$$\Omega_p - \Omega = \pm \kappa/m$$

Note that $\Omega_p - \Omega = -\kappa/2$

is a special case of a two-armed ($m=2$) spiral with $\Omega > \Omega_p$

- There are two classes of Lindblad resonances:

$$\Omega_p - \Omega = -\kappa/2$$

Inner Lindblad resonance (ILR)

$$\Omega_p - \Omega = +\kappa/2$$

Outer Lindblad resonance (OLR)

$$\delta R = \delta A \frac{1}{\Delta} \cos[m\phi_0 + m(\Omega_0 - \Omega_p)t]$$

$$\Delta = \kappa_0^2 - m^2(\Omega_0 - \Omega_p)^2$$

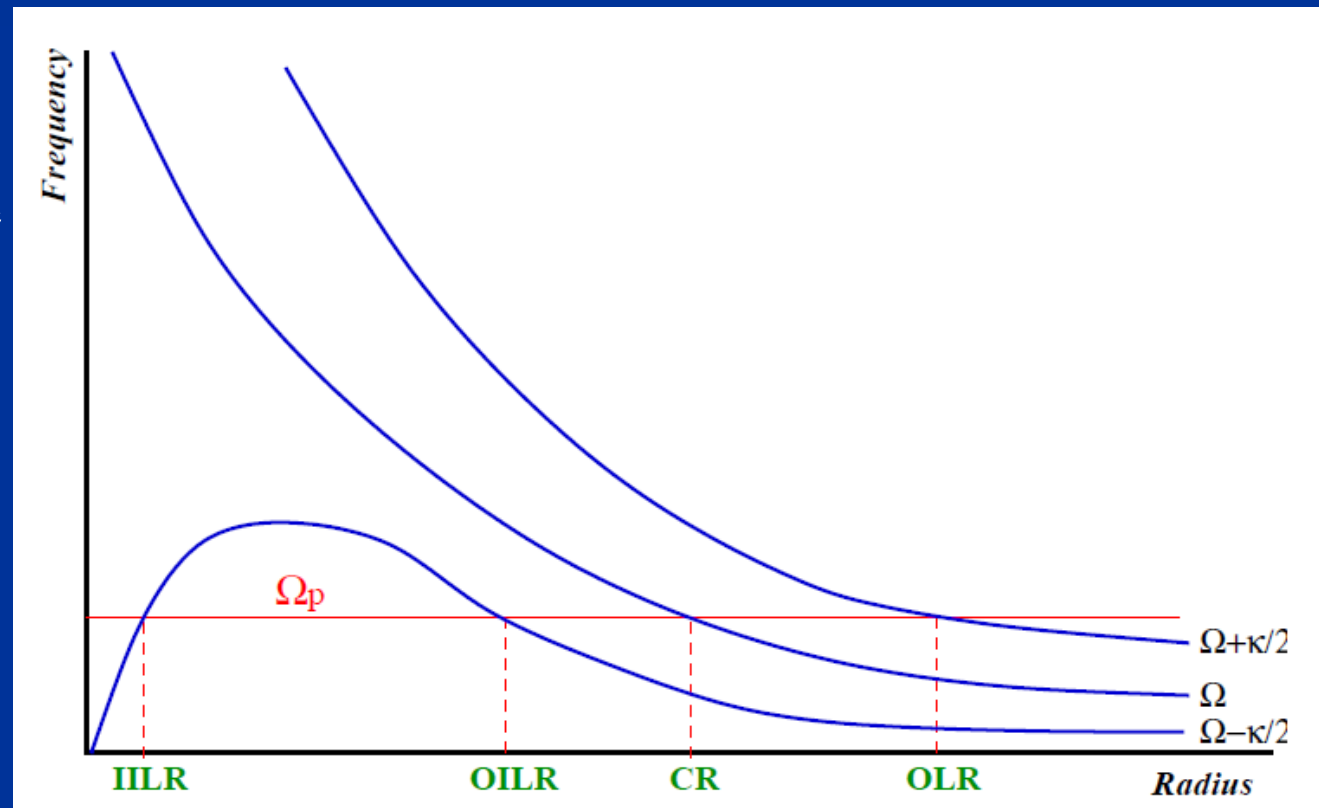
$$\delta A = -\frac{\partial \delta \Phi}{\partial R} + \frac{2\Omega_0 \delta \Phi(R)}{R_0(\Omega_p - \Omega_0)}$$

- To establish Lindblad and Corotation resonances: need to know

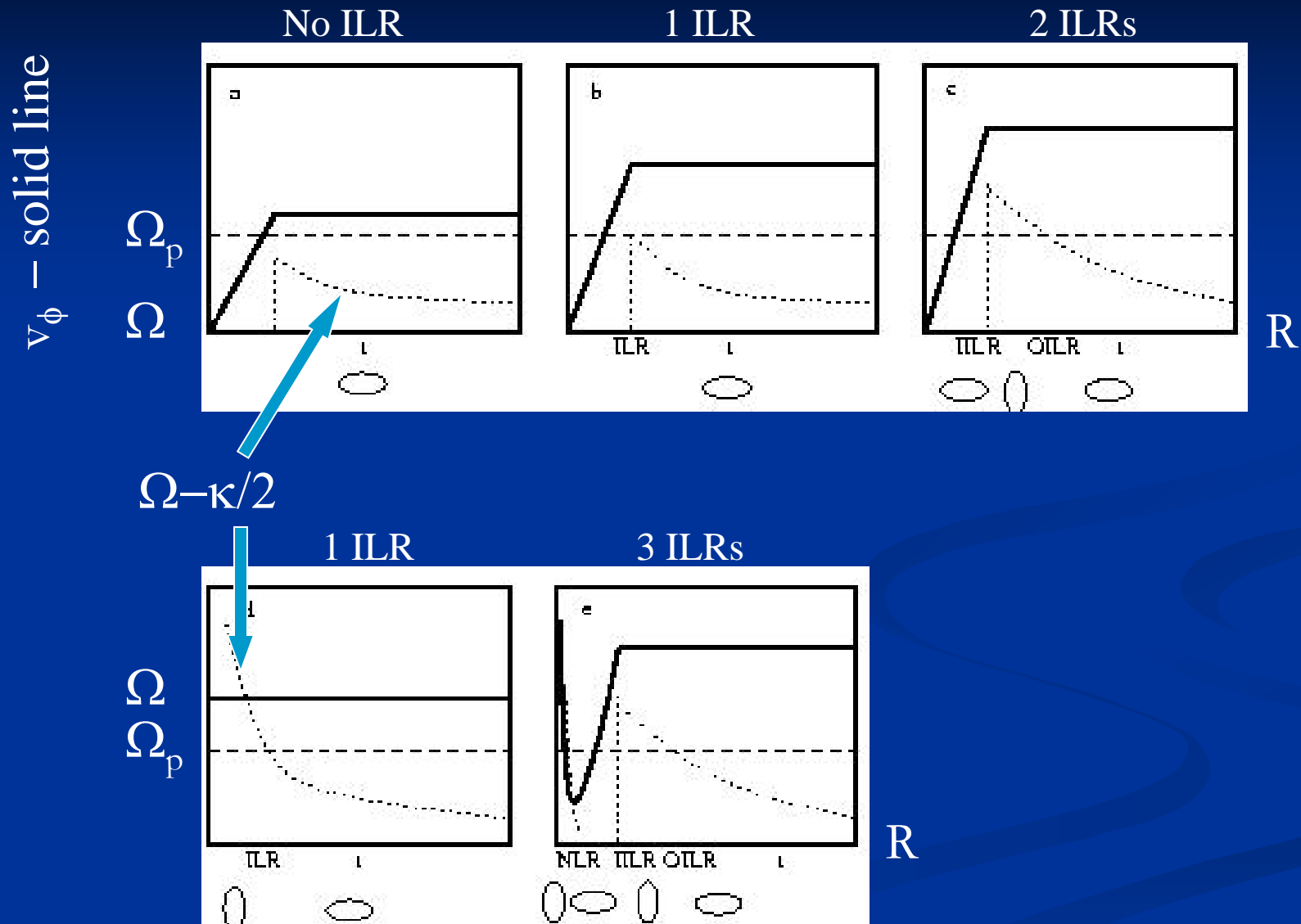
The pattern speed Ω_p

The rotation curve $v_c(R) \rightarrow \Omega(R), \kappa(R)$

- For $m=2$: the resonance curves for nearly circular orbits are

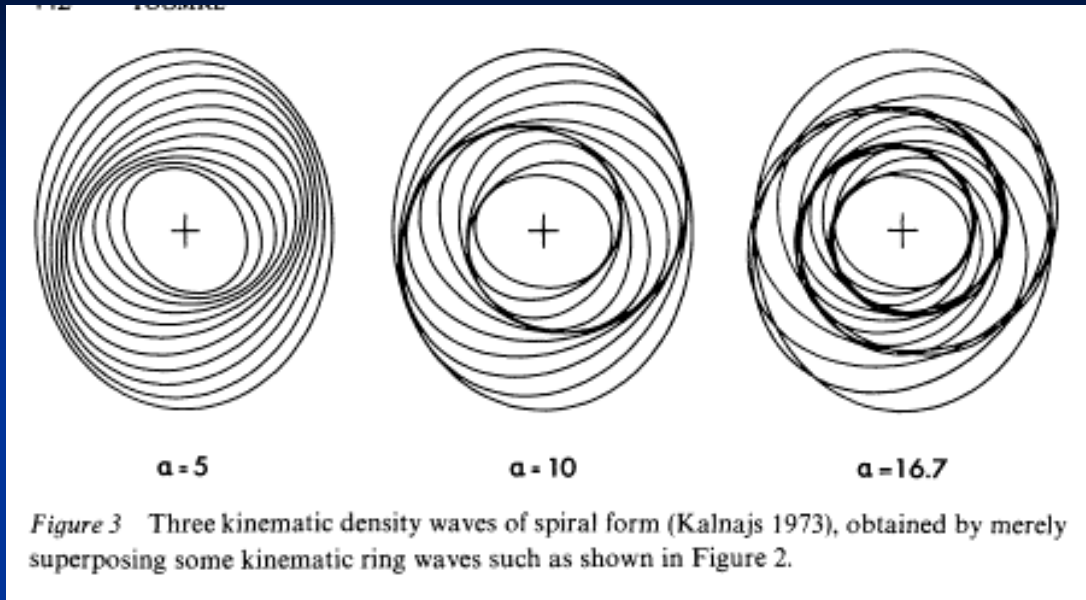


- Different types of ILRs for various rotation curves ($m=2$):



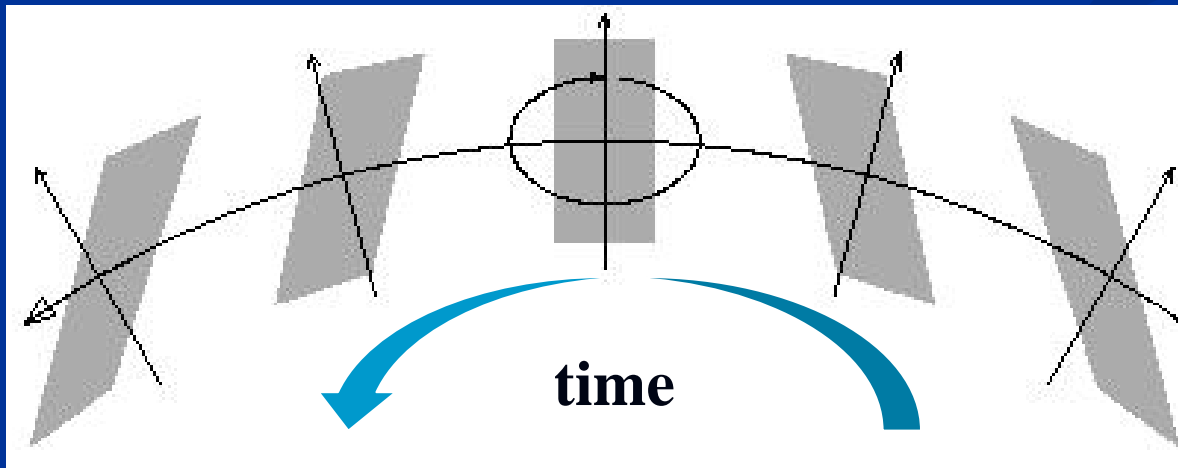
There can be 0, 1, 2 or more ILRs

By superposing many concentric ovals \rightarrow variety of spiral patterns:



If $\Omega - \kappa/2$ is independent of radius \rightarrow the spiral pattern will persist indefinitely, because all superposed ovals would precess at the same rate

In fact, $\Omega - \kappa/2$ is nearly independent of radius in real disk galaxies over a range in R !



Evolution of an overdense perturbation (gray patch) in a shearing disk which rotates **counter-clockwise**. The perturbation initially has a form of a *leading* spiral, but is sheared into a *trailing* one. The epicycle and the perturbation rotate in the same direction, so stars stay in the perturbation longer.

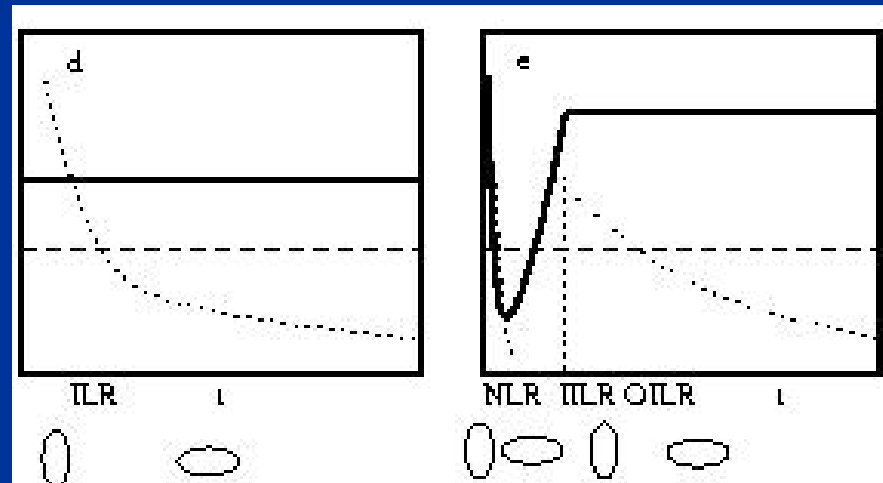
Density wave spirals should wind up 6 times slower than material arms

❖ Importance of resonances

Response changes at resonances: example of 1-D oscillator



Stellar orbits change orientation abruptly at the resonances:



Stellar density waves cannot propagate across the resonances