

**University of Kentucky, Physics 306**  
**Homework #4, Rev. B, due Wednesday, 2024-02-07**

1. The **Pauli matrices**, defined as  $\sigma_x \equiv \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma_y \equiv \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\sigma_z \equiv \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , describe quantum mechanical spin  $\frac{1}{2}$  particles in a space with two basis vectors representing spin up and down.

a) Show that  $\sigma_j \sigma_k = \delta_{jk} I + i \varepsilon_{jkl} \sigma_l$ . What is the *triple product*  $\sigma_x \sigma_y \sigma_z$ ? Thus  $\sigma_j$  acts like the basis vectors  $\hat{x}, \hat{y}, \hat{z}$ , and encode the structure of the dot and cross products just as  $1, i$  do in the complex plane. What are the inverses of  $\sigma_k$ ?

[bonus: Show that product of **Dirac matrices** is  $\gamma^\mu \gamma^\nu = g^{\mu\nu} I + i \sigma^{\mu\nu}$  and calculate the components  $g^{\mu\nu}$  and the matrices  $\sigma^{\mu\nu}$ . They encode the structure of spacetime in special relativity.]

b) Show that  $(I, \sigma_x, \sigma_y, \sigma_z)$  is a basis of the generalized vector space of complex  $2 \times 2$  matrices: they are independent and any matrix can be written as the linear combination  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} = IA_0 + \sigma_x A_x + \sigma_y A_y + \sigma_z A_z$  by solving for  $A_0, A_x, A_y, A_z$ .

What are the original basis matrices  $P_{1,2}$  and  $\sigma_\pm$ , such that  $A = P_1 a + \sigma_+ b + \sigma_- c + P_2 d$ ?

c) Verify that the transformation matrices  $U_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$  and  $U_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix}$  are *unitary*,  $U_x^\dagger U_x = U_y^\dagger U_y = I$ , so that  $U_x^{-1} = U_x^\dagger$  and  $U_y^{-1} = U_y^\dagger$ .

d) Verify the *eigenequations*  $\sigma_x U_x = U_x \sigma_z$  and  $\sigma_y U_y = U_y \sigma_z$ . Calculate the *similarity transform*  $U_x \sigma_k U_x^{-1}$  of each of the Pauli matrices  $\sigma_k = \sigma_x, \sigma_y, \sigma_z$ . For  $i, j = x, y, z$ , find the matrices  $U_{ij}$  that transform  $\sigma_i$  into  $\sigma_j = U_{ij} \sigma_i U_{ij}^{-1}$  by rearranging the eigenequations above. In these equations,  $i, j$  are not component indices, but label (distinguish) different  $U$  matrices.

e) The *trace* of a matrix is the sum of its diagonal elements:  $\text{tr} A = A_{ii}$ . Calculate  $\text{tr}(0)$  (the zero matrix) and  $\text{tr}(\sigma_i)$ . [bonus: Show that  $\text{tr}(ABC) = \text{tr}(BCA) = \text{tr}(CAB)$  but not necessarily  $\text{tr}(ABC) = \text{tr}(CBA)$ .] Use this to show that if  $A' = UAU^\dagger$ , then  $\text{tr}(A') = \text{tr}(A)$ , i.e. the trace, or ‘perimeter’, of  $A$  is invariant.

f) The *determinant* of a matrix is the fully antisymmetric product of one element in each row or column:  $\det A = \varepsilon_{ijk} A_{1i} A_{2j} A_{3k} = \varepsilon_{ijk} A_{i1} A_{j2} A_{k3}$ , which has the properties  $\det(A^\dagger) = \det(A)^*$  and  $\det(AB) = \det(A)\det(B)$ . Calculate  $\det(I)$  and  $\det(\sigma_i)$ . Show that if  $U^\dagger U = I$  then  $|\det(U)| = 1$ , and if  $A' = UAU^\dagger$ , then  $\det(A') = \det(A)$ , i.e. the determinant, or ‘volume’, of  $A$  is invariant.

2. **Rotations** of the vector  $\vec{v} = \begin{pmatrix} x \\ y \end{pmatrix}$  are generated by the matrix  $M = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ , which represents a  $90^\circ$  CCW rotation—just as complex rotations  $e^{i\phi}$  are generated by  $i$ , where  $i^2 = -1$ .

a) Show that  $M\vec{v}$  rotates  $\vec{v}$  by  $90^\circ$  CCW, and that  $M^2 = -I$ .

b) Show that  $R = e^{M\phi} = I \cos \phi + M \sin \phi$  and calculate the components of  $R$ . Show that  $M^T = -M$  (the generator is asymmetric) and thus  $R^T R = I$  (verify it), just like  $(e^{i\phi})^* (e^{i\phi}) = 1$ . Show that  $dR = MR d\phi$ , just like  $de^{i\phi} = ie^{i\phi} d\phi$  in H02#1d.

c) The cross product  $\times$  generates rotation in 3d. Calculate the matrices  $\mathbf{M} = (M_x, M_y, M_z)$ , where  $\hat{x} \times \vec{v} = M_x \vec{v}$ , etc. Show that the components of  $M_\ell$  are  $(M_\ell)_{jk} = \varepsilon_{kj\ell}$  and that  $M_\ell^2 = -P_{\perp\ell}$ ,

where  $P_{\perp\ell}$  projects perpendicular to  $\hat{e}_\ell$ . Thus the general matrix for a CCW rotation of angle  $v$  about the  $\hat{\mathbf{v}}$ -axis is  $R_{\mathbf{v}} = e^{\mathbf{M}\cdot\mathbf{v}} = P_{\perp} \cos v + \mathbf{M}\cdot\hat{\mathbf{v}} \sin v + P_{\parallel} = I \cos v + \mathbf{M}\cdot\hat{\mathbf{v}} \sin v + \hat{\mathbf{v}}\hat{\mathbf{v}}^T(1 - \cos v)$ , *Rodrigues' formula*. The third term preserves the projection along the axis of rotation  $\hat{\mathbf{v}}$ . Verify this formula for the familiar case  $\vec{\mathbf{v}} = \hat{\mathbf{z}}\phi$ .

d) [*bonus*: The Pauli matrices also generate rotation. Calculate  $e^{-i\sigma_x\phi}$ ,  $e^{-i\sigma_y\phi}$ ,  $e^{-i\sigma_z\phi}$ . Which transformation corresponds to  $R$  and the complex rotation  $e^{i\phi}$ ?]

[*bonus*: **3. Minkowski space.** We will derive the Lorentz transformations using the Lorentz metric, which encodes Einstein's principle of special relativity that the speed of light  $c$  is constant in any reference frame.

a) Show that the principle of relativity can be written as  $\mathbf{x}^2 = \mathbf{x} \cdot \mathbf{x} = \mathbf{x}^T g \mathbf{x} = 0$  for the *space-time vector*  $\mathbf{x} = (ct \ x)^T$  with components representing the distance  $x = ct$  traveled by a photon in time  $t$ , where the matrix  $g = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$  is called the *Minkowski metric*. In three-dimensional space,  $\mathbf{x} = (ct \ x \ y \ z)^T$  is a 4-vector, and the metric becomes a  $4 \times 4$  matrix  $g = \text{diag}(-1, 1, 1, 1)$ .

b) Momentum and energy can also be combined into the space-time vector  $\mathbf{p} = (E/c \ p)^T$ . Show that invariance of the dot product  $\mathbf{p}^T g \mathbf{p} = -(mc)^2$  leads to the formula  $E^2 = (pc)^2 + (mc^2)^2$ , which reduces to Einstein's equation  $E = mc^2$  when  $p = 0$ .

c) Normal rotations  $R$  keep the length of vector constant by preserving the Euclidean metric  $I$ :  $R^T I R = I$ . Likewise, Lorentz transformations  $\Lambda$  preserve the Minkowski metric:  $\Lambda^T g \Lambda = g$ . For a small 'rotation'  $\Lambda = I + G d\alpha$  generated by  $G$ , show that  $G^T g + g G = 0$ . Show that  $G = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  satisfies this relation to first order in  $d\alpha$ . Note the difference between  $G$  and  $M$ !

d) Show that  $G^2 = I$  and therefore  $\Lambda = e^{G\alpha} = I \cosh \alpha + G \sinh \alpha = \gamma \begin{pmatrix} 1 & \beta \\ \beta & 1 \end{pmatrix}$ , where  $\beta = \tanh \alpha = v/c$  and  $\gamma = \cosh \alpha = (1 - \beta^2)^{-1/2}$ . Thus the Lorentz transformations  $\mathbf{x}' = \Lambda \mathbf{x}$  are  $t' = \gamma(t + vx/c^2)$  and  $x' = \gamma(x + vt)$ , which encode all of the features of special relativity. Verify that  $\Lambda^T g \Lambda = g$  and plot the rotated basis vectors. Calculate the relativistic addition rule for velocities  $\beta_1$  and  $\beta_2$  from  $\Lambda = \Lambda_2 \Lambda_1$ .

e) The Dirac matrices  $\gamma^\mu$  generate Lorentz rotations. Calculate  $e^{-i\gamma_\mu v^\mu}$ .