

L67-Degenerate Perturbation Theory

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- * Review: Quantum Mechanical perturbation theory is a systematic method of diagonalizing matrices:
 - decompose into diagonal + perturbation
 - may be the only way of diagonalizing $\infty \times \infty$ matrix!

* Example: 3x3 matrix:
$$\begin{pmatrix} \lambda_1 + \alpha & \delta & \varepsilon \\ \delta & \lambda_2 + \beta & \zeta \\ \varepsilon & \zeta & \lambda_3 + \gamma \end{pmatrix} \begin{pmatrix} V_1 \\ V_2 \\ V_3 \end{pmatrix} = \Lambda \begin{pmatrix} V_1 \\ V_2 \\ V_3 \end{pmatrix}$$

o) $\mathcal{H}^0 \psi_n^0 = E_n^0 \psi_n^0 : \mathcal{H} = \begin{pmatrix} \lambda_1 & & \\ & \lambda_2 & \\ & & \lambda_3 \end{pmatrix}$

1a) $E_n^1 = V_{nn} = \langle \psi_n^0 | \mathcal{H}' | \psi_n^0 \rangle \quad E_1^1 = \alpha \quad E_2^1 = \beta \quad E_3^1 = \gamma$

b) $|\psi_n^1\rangle = \sum_{m \neq n} \frac{V_{mn}}{\Delta_{nm}} |\psi_m^0\rangle = \sum_{m \neq n} \frac{|\psi_m^0\rangle \langle \psi_m^0 | \mathcal{H}' | \psi_n^0 \rangle}{E_n - E_m} \quad \mathcal{H} V = V \Lambda \quad \text{to first order in } \alpha, \beta, \gamma, \delta, \varepsilon, \zeta.$

$$\begin{aligned} \vec{V}_1 &= \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + \frac{\delta}{\lambda_1 - \lambda_2} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + \frac{\varepsilon}{\lambda_1 - \lambda_3} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \\ \vec{V}_2 &= \frac{\delta}{\lambda_2 - \lambda_1} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + \frac{\zeta}{\lambda_2 - \lambda_3} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \\ \vec{V}_3 &= \frac{\varepsilon}{\lambda_3 - \lambda_1} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + \frac{\zeta}{\lambda_3 - \lambda_2} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \end{aligned}$$

$$\begin{pmatrix} \lambda_1 + \alpha & \delta & \varepsilon \\ \delta & \lambda_2 + \beta & \zeta \\ \varepsilon & \zeta & \lambda_3 + \gamma \end{pmatrix} \begin{pmatrix} \vec{V}_1 \\ \vec{V}_2 \\ \vec{V}_3 \end{pmatrix} \stackrel{?}{=} \begin{pmatrix} 1 & \frac{\delta}{\lambda_1 - \lambda_2} & \frac{\varepsilon}{\lambda_1 - \lambda_3} \\ \frac{\delta}{\lambda_2 - \lambda_1} & 1 & \frac{\zeta}{\lambda_2 - \lambda_3} \\ \frac{\varepsilon}{\lambda_3 - \lambda_1} & \frac{\zeta}{\lambda_3 - \lambda_2} & 1 \end{pmatrix} \begin{pmatrix} \lambda_1 + \alpha & & \\ & \lambda_2 + \beta & \\ & & \lambda_3 + \gamma \end{pmatrix}$$

$\underbrace{\vec{V}_1}_{+E_1^2} \quad \underbrace{\vec{V}_2}_{+E_2^2} \quad \underbrace{\vec{V}_3}_{+E_3^2}$

2) $E_n^2 = \sum_{m \neq n} \frac{|V_{mn}|^2}{\Delta_{nm}} = \sum_{m \neq n} \frac{|\langle \psi_m^0 | \mathcal{H}' | \psi_n^0 \rangle|^2}{E_n - E_m}$

$$E_1^2 = \frac{\delta^2}{\lambda_1 - \lambda_2} + \frac{\varepsilon^2}{\lambda_1 - \lambda_3} \quad E_2^2 = \frac{\delta^2}{\lambda_2 - \lambda_1} + \frac{\zeta^2}{\lambda_2 - \lambda_3} \quad E_3^2 = \frac{\varepsilon^2}{\lambda_3 - \lambda_1} + \frac{\zeta^2}{\lambda_3 - \lambda_2}$$

- this fixes the diagonal entries, but adds extra terms to off-diagonal
- we need $|\psi_n^2\rangle$ to fix off-diagonal entries of $\mathcal{H}V = V\Lambda$

* What about the case where $\mathcal{H}^0$ is degenerate? $\mathcal{H} = \begin{pmatrix} \lambda + \alpha & \delta & \varepsilon \\ \delta & \lambda + \beta & \zeta \\ \varepsilon & \zeta & \mu + \gamma \end{pmatrix}$

- In this case, $|\psi_1^1\rangle$ and $|\psi_2^1\rangle$ explode! $\frac{1}{\lambda - \lambda}$ also E_1^2, E_2^2 by extension.

- the basis vectors $\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$ and $\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$ are degenerate, that means that even the energy E_1^1, E_2^1 depends on the arbitrary linear combination of these chosen

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$$E_1' = (C_0 S_0) \begin{pmatrix} \alpha & \delta & \epsilon \\ \delta & \beta & \xi \\ \epsilon & \xi & \gamma \end{pmatrix} \begin{pmatrix} C_0 \\ S_0 \\ 0 \end{pmatrix}$$

$$= C_0^2 \alpha + S_0^2 \beta + S_0 \delta$$

$$E_2' = (-S_0 C_0) \begin{pmatrix} \alpha & \delta & \epsilon \\ \delta & \beta & \xi \\ \epsilon & \xi & \gamma \end{pmatrix} \begin{pmatrix} S_0 \\ C_0 \\ 0 \end{pmatrix}$$

$$= -S_0^2 \alpha + C_0^2 \beta - S_0 \delta$$

- how do you know which values to use?

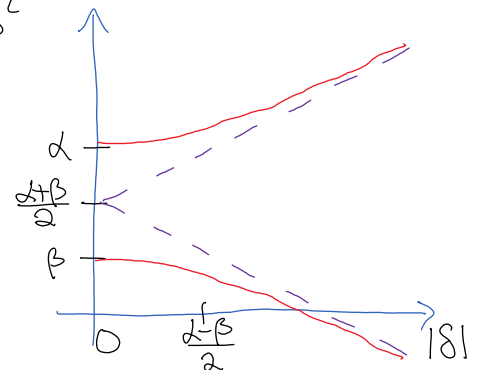
If $W = \begin{pmatrix} \alpha & \delta \\ \delta & \beta \end{pmatrix} \neq I$, i.e. if $\alpha \neq \gamma$ or $\beta \neq 0$, then we can use the eigenvectors of H' to break the degeneracy of H (only in this subspace)

$$|W - E'I| = \begin{vmatrix} \alpha - E' & \delta \\ \delta & \beta - E' \end{vmatrix} = (\alpha - E')(\beta - E') - \delta^2$$

$$= E'^2 - (\alpha + \beta)E' + \underbrace{(\alpha\beta - \delta^2)}_{|W|} = 0$$

$$E' = \frac{\alpha + \beta}{2} \pm \sqrt{\left(\frac{\alpha - \beta}{2}\right)^2 - (\alpha\beta - \delta^2)}$$

$$= \frac{1}{2}(\alpha + \beta \pm \sqrt{(\alpha - \beta)^2 + 4\delta^2})$$

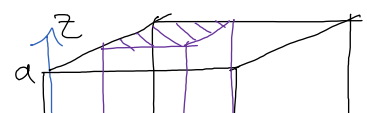


* Theorem: Let A be a Hermitian operator that commutes with H_0, H' . If ψ_a^0, ψ_b^0 , the degenerate eigenfunctions of H_0 are also eigenfunctions of A with distinct eigenvalues, then $W_{ab} = 0$, and hence ψ_a^0, ψ_b^0 are good states to use in perturbation theory.

proof: $[H_0, A]$ form a set of commuting observables (complete in this 2-d space). Since $[H_0, H'] = 0 = [A, H']$, H' has the same eigenvectors and is diagonal in ψ_a^0, ψ_b^0 .

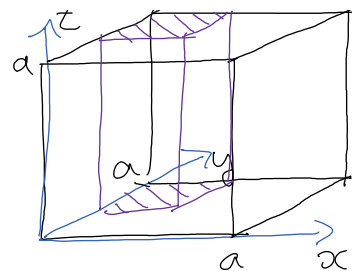
* How to avoid dividing by 0 in above formulas:
Divide by $\Delta_{nm}(E^0 + E')$ instead of $\Delta_{nm}(E^0)$, which are distinct.

* Example 6.2 3-d inf. square well



Example 2.2 ... infinite well

$$V(x,y,z) = \begin{cases} 0 & 0 < x,y,z < a \\ \infty & \text{otherwise} \end{cases}$$



$$E_{n_x n_y n_z}^0 = \frac{\pi^2 \hbar^2}{2ma^2} (n_x^2 + n_y^2 + n_z^2)$$

$$\psi_{n_x n_y n_z}^0 = \left(\frac{2}{a}\right)^{3/2} \sin\left(\frac{n_x \pi}{a} x\right) \sin\left(\frac{n_y \pi}{a} y\right) \sin\left(\frac{n_z \pi}{a} z\right)$$

ground state: ψ_{111}^0 nondegenerate $\rightarrow E_0^0 = \frac{\pi^2 \hbar^2}{2ma^2} \cdot 3$

$\psi_a = \psi_{112}^0$, $\psi_b = \psi_{121}^0$, $\psi_c = \psi_{211}^0$ triply degenerate: $\rightarrow E_1^0 = \frac{\pi^2 \hbar^2}{2ma^2} \cdot 6$

Perturbation: $\mathcal{H}' = \begin{cases} V_0 & \text{if } 0 < x,y < a/2 \\ 0 & \text{otherwise} \end{cases}$

$$E_0^1 = \langle \psi_{111}^0 | \mathcal{H}' | \psi_{111}^0 \rangle = \frac{1}{4} V_0$$

$$\begin{aligned} W_{aa} &= \langle \psi_a | \mathcal{H}' | \psi_a \rangle = \frac{1}{4} V_0 = W_{bb} = W_{cc} \\ W_{ab} &= \langle \psi_a | \mathcal{H}' | \psi_b \rangle = 0 = W_{ac} \\ W_{bc} &= \langle \psi_b | \mathcal{H}' | \psi_c \rangle = \left(\frac{8}{3\pi}\right)^2 V_0 \text{ (see 2-d example)} \end{aligned} \quad W = \frac{V_0}{4} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \kappa \\ 0 & \kappa & 1 \end{pmatrix}$$

Apply above form on lower 2x2 matrix: $\alpha=\beta=1$ $\delta=\kappa$

$$E_1(\lambda) = E_1^0 + \frac{1}{4} V_0 \begin{pmatrix} 1 & 1+\kappa \\ \pm \kappa & 1-\kappa \end{pmatrix}$$